



# Bharathi K

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## Academic Qualification:

BBA (2013-2016) SASTRA

## Personal Details:

Nationality- Indian

Gender- Female

Language known-

English, Malayalam & Tamil

## Area of interest & Experience:

Anti-Money Laundering, KYC

Payment Screening

STR/SAR Drafting

EDD, CDD, SDD.

FATCA & CRS

Sanction Screening.

Name Screening.

Transaction Monitoring.

## Technical Skills:

Microsoft office

Fircosoft

Lexis Nexis

World check

Public Domine Screening

## Objectives:

With the passion to excel and succeed, I intend to work in a challenging and inspiring environment which will give mw opportunities for career advancement, gaining knowledge in new skills, expertise and to utilize my skills ability and confidence for benefits of organization and self.

## Experience:

### Abu Dhabi Islamic Bank Dubai (Jan 2023 – Till date) KYC Remediation Officer.

- Conducting comprehensive CDD investigation verify identities and access risk-based profiles.
- Review Customer profile, understand nature of business/LOB validate declared documents to ensure compliance regulatory standards are met.
- Maintaining accurate and up-to-date records of customers information, due diligence findings, risk assessments and ensure proper documentations for audit and regulatory purposes.
- Collaborate with internal teams to address compliance issue and implement risk mitigation measures.
- Review on transactions based on the trigger raised, identify the risk measure, and collect required documents for clarification for further review. As per the provided documents will conclude the case if satisfactory or unsatisfactory.
- Both Satisfactory and Unsatisfactory cases are to be recorded with Managers approval based on the decision, SAR and Listing will be added for Unsatisfactory cases.

### Synovus bank (IBM India 2020-2022) Compliance Analyst. (US Clients)

- Monitoring transaction of the customer to understand the structure of transactions.
- Performing End-to-End review for high-risk customers and OFAC new customer alerts.
- Screening customer profile, Identify the customer profile negotiating with primary and secondary identifiers and report for further review.
- Based on the review generating PEP forms, SDN Forms, CTR report and Sanction Declaration forms.
- Screen Customer profile thru PD search, Firco Watch list, Lexis-Nexis and internal watch list.
- Report to management with daily and weekly reports.

### CITI BANK & SCB (TCS India 2016-2020) KYC Analyst. (US Client)

- Experienced in performing screening which includes EDD, Payment Screening, PEP Screening, Adverse media, Name screening and Sanction Screening.
- Conduct EDD review based on the trigger raised and request for the requirement to RM.
- Understand the case profile and validate with declared & Provided documents and conclude the case as per the review.
- Investigating suspicious activity and behavior that could pose a risk to bank norms.

## Declaration:

I Declare that the information mentioned above is correct and complete to the best of my knowledge and nothing has been concealed or distorted.

Date:

Bharathi K

Place: