

# Mohammed Abdul Asim

## Compliance Executive



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📍 Hyderabad

🚩 Indian

### SKILLS

- World Check
- Transaction Monitoring
- Sanction Screening
- AML, KYC
- Trade Based Money Laundering
- Client Onboarding
- Dow Jones
- Sea Searcher
- Annual Periodic Reviews
- Customer Due Diligence, Enhance Due Diligence

### AWARDS

**Employee of the month for last quarter 2022**

**Received appreciations from the line manager for completion of assigned task in defined time frames**

### PROFILE

Experienced KYC/AML/EDD/TBML Analyst prepared to manage risk and implement profitable goals for companies through research, detailed records and solid communication skills. Able to meet deadlines without compromising security standards.

### PROFESSIONAL EXPERIENCE

#### **SHARAF EXCHANGE LLC**

Compliance Executive

01/2022 – present | Hyderabad, India

- Investigate sanctions hits and assist in the compilation and maintenance of internal watch lists.
- Investigate and assess alerts for potential money laundering risk in the transactions of customers
- Reviewed screening alerts that resulted in possible matches requiring compliance. (Level 1 and 2) advisement
- Screening and checking customer / beneficiary names on world check hit, CBU
- Screening and checking the product risk of the customer on Dow Jones tool
- Assist with enquiries from the Central Bank.
- Identification of PEP's as per policy and escalating to CO/ACO for required approvals and risk classification.
- Raising STR and SAR through transaction screening/Transaction Monitoring Monitor and assess quality of retail customer KYC documentation, raise any concerns to the CO / ACO.
- Escalation of true matches identified to the proper units (AML investigations, FIU Client On-Boarding and Maintenance, Global Sanctions Compliance) as part of the procedure.
- Provide advice and guidance to branch staff on customer due diligence requirements.
- Performing transaction monitoring on individual customers based on the alerts generated
- Monitor transaction reports to identify and investigate any suspicious transactions.

#### **Al Ghurair International Exchange**

Branch Compliance Officer / Branch In charge

12/2019 – 01/2022 | Sharjah, UAE

- Monitor on boarding of individual and corporate customers, verify mandatory documents and quality of data for on boarded customers
- Investigate and assess alerts for potential money laundering risks in dedicated branch. End-to-end verification of all high value transactions.
- Identify and report suspicious activities encountered during monitoring to the Head of Compliance in a timely manner. Obtain evidentiary documents in support of filing.



## LANGUAGES

English	● ● ● ● ●
Hindi	● ● ● ● ●
Arabic	● ● ● ● ●
Telugu	● ● ● ● ●
Urdu	● ● ● ● ●



## EDUCATION

### MASTERS OF BUSINESS ADMINISTRATION

Kakatiya University

2004 – 2006 | Hanamkonda, India

- Count money in cash drawers at the beginning of shifts to ensure that amounts are correct and that there is adequate change.
- Training & Guidance Assist with AML Compliance training especially on identification and reporting if suspicious transactions.
- Assist in identifying systemic procedural weaknesses and additional training requirements.
- Provide direction and guidance to business and employees with respect to input Qualitative data, supporting documents for CDD/EDD process
- Cooperating with Head of Compliance
- Take instructions on AML related matters and execute in a timely manner.
- Ensuring that the company adheres to legal standards and in-house policies.
- Handling all cashier-related enquiries from customers.
- Following as per the UAE Central Banks Operations.

### UAEEXCHANGE CENTER LLC

Junior Officer

01/2017 – 12/2019 | Fujairah, UAE

- Ensure regulatory reporting is in line with the requirement and are submitted on timely basis.
- Ensure CBUAE adherence to applicable AML laws and regulations.
- Identify, assess and address emerging risks/trends to value-add to business segments.
- Making sure those compliance procedures, systems and controls are up to date and effective.
- Visibility and accountability to senior Management
- Creating programs that encourage staff to report impropriety without fear of retaliation.
- Supports and assists to compliance officer in implementation of KYC Policies and procedures.
- Monitoring all corporate trade transactions and all corporate on boarding procedures.

### UAEEXCHANGE CENTER LLC

Teller

03/2008 – 01/2017 | Sharjah, UAE

- Dealing with all types of Remittance transactions.
- Purchase and sell of foreign currencies.
- Promoting companies allied products and services.
- Achieved an excellent drawer balancing record of zero shortages or overages throughout tenure.
- Identifying and mitigating any potential conflicts in advance.
- Excellent communication skills to build relations with customers face to face.
- Can handle large amounts of cash efficiently and accurately.
- Effective time management and be able to prioritize.
- Working effectively as part of a hardworking and customer driven team.

Mohammed Abdul Asim.



## COURSES

### **Transaction Monitoring**

AML Custodians

2023 | Hyderabad, India