

Gul E Geti Shamsi, CAMS (sher/her)

DOB: 5th October, 1985

Career Objective

Global Certified AML Compliance specialist worked for seven years in banking and audit industry. Looking to add substantive value in my current profile by attaching myself with strong business and human capital driven international organization which understands the worth of compliance function and risks associated with the field also have embedded strong risk mitigation tools and controls in its overall operational integrated model to justify local and international laws and regulations.

Experience

Consultant, Regulatory & Financial Crime Compliance Advisory – Grant Thornton UAE/Abu Dhabi Islamic Bank (August 2023-till now) –
[<https://www.grantthornton.ae/> & <https://www.adib.ae/>]

- Deputed for a Quality Assurance (QA) project for Abu Dhabi Islamic Bank (ADIB) by Grant Thornton (GT), UAE for Transaction Monitoring (TM), Sanctions Compliance & Know Your Customer (KYC).

Compliance Executive / Supervisory Senior – KPMG Pakistan on Secondment for KPMG Lower Gulf (November 2021-August 2022) - [Website: www.kpmg.com & www.kpmg.com/pk]

- Worked as a part of client background check (CBC) team of Risk and Quality Department of KPMG LG (UAE) for which I was responsible to perform background checks on client’s acceptance and continuation before providing them any audit, tax or advisory services by the firm.

Faysal Bank Limited – Senior Anti Money Laundering (AML) Analyst – Client Acceptance and Anti-Money Laundering Department, Compliance Division – (February 2021-September 2021) - [Website: www.faysalbank.com]

- Lead a team of Know Your Customer (KYC) for High-Risk Clients onboarding and New to bank Client onboarding alerts management and supervision through name filtering system SAFEWATCH 4.0 for both local and international sanctions lists includes Office of Foreign Asset Control (OFAC), European Union (EU), Bank of England (BOE), and United Nations Security Council (UNSC).

Contact

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Citizenship: Pakistan

Education

- Masters in Business Administration (MBA-Hons) Specialized in Human Resource Management – Bahria University (2008-2009)
- Bachelors in Business Administration (BBA-Hons) specialized in Human Resources Management – Bahria University (2004-2007)

Certifications

- ACAMS Member ID: 005135806 (Passed) – June 2023
- AML Foundation Course by ACAMS (Passed) – October 2022

Strengths

- Prevalent knowledge of UAE & Pakistan AML laws imposed by Central Bank and other UAE/Pakistan regulators
- KYC / CDD and EDD knowledge of all types of customers / clients
- International AML / CFT standards and Sanctions regulations
- Quick Learner / Initiator
- Team Player / People Management

Habib Bank Limited – Analyst Screening, Sanctions Support Unit-Anti Money Laundering Department - Global Compliance Group (November 2018-January 2021) – [Website: www.hbl.com]

- Worked as an active team resource and team leader for Sanctions and Trade advisory projects for facilitating different cross functional teams and centralized trade processing units
- Worked for sanctions screening of SWIFT payments and Overseas Remittances in bank's name filtering system SAFEWATCH 4.0 for bank's databases against local and international sanctions lists e.g. Office of Foreign Asset Control (OFAC), European Union (EU), Bank of England (BOE), and United Nations Security Council (UNSC).
- Lead Request for Information (RFI) team for Overseas Remittances in which I was responsible for closure of hold cases / transactions due to incomplete information of beneficiary or originator/sender within agreed turnaround time (TAT).

United Bank Limited – Anti Money Laundering (AML) Officer, Name Screening Unit-Sanctions, Anti Money Laundering Division – Compliance Group (July 2015-October 2018) – [Website: www.ubldigital.com]

- Worked as an active team resource for AML Sanctions team where I was responsible for Sanctions screening of SWIFT payments and account opening in banks name filtering systems HOTSCAN of banks database against both local & international sanctions lists which includes Office of Foreign Asset Control (OFAC), European Union (EU), Bank of England (BOE), and United Nations Security Council (UNSC).
- Worked for trade advisory assignment for bank's transactions having sanctions related elements originates from banks centralized trade processing units.
- Worked for transaction monitoring team of AML Division to review alerts in transaction monitoring system in order to analyze transactions for filing of Suspicious Transaction Report (STR)/ Suspicious Activity Report (SAR) to country's Financial Intelligence Unit.
- Worked for Currency Transaction Reporting (CTR) a system installed as GoAML in Sanction Support Unit for reporting of daily cash transactions of bank's customers exceeding 2.0 million of cash threshold to relevant authorities.
- Worked for processing and responding to Correspondent banking questionnaires initiated from relevant department that is in-line with Wolfsberg Group correspondent banking guidelines.
- Worked for approving and responding to bank's High-Risk customers' onboarding requests like Politically Exposed Persons (PEP), Charitable Organizations, Exchange Companies, HomeRemit Companies, Correspondent accounts after proper completion of their Know Your Customer (KYC), Sanctions screening checks as per regulatory requirements

Trainings

- Anti-Money Laundering / Counter Financing of Terrorism (AML / CFT) Regime in Pakistan – Year 2016 – By Institute of Bankers Pakistan
- Anti-Money Laundering / Counter Financing of Terrorism (AML / CFT) & an Ideal Compliance Culture – Year 2017 – By Institute of Bankers Pakistan
- Hotscan BFA Training Session – Year 2016 – By United Bank Limited Learning & Development Center.
- Microsoft Excel – Intermediate to Advance – Year 2016 – By Viftech Solutions (Pvt.) Limited.
- Targeted Financial Sanctions Countering Terrorist & Proliferation Financing – February 2022 – By Executive Office of Anti Money Laundering & Counter Terrorist Financing UAE.

United Bank Limited - Processing Officer, Omni Operations – Branchless Banking Back Office June 2014-June 2015) - [Website: www.ubldigital.com]

- - Worked as an active team member for agent activation and account opening teams for bank's Omni brand which is provision branchless banking services for retailers and merchants nationwide where I was responsible to process agent onboarding & activation requests, discrepancy & complain resolution, assigning them working limits through centralized agent management system.

