



VINEETH KUMAR V

RISK & FRAUD PREVENTION OFFICER AT AL JAZIRA EXCHANGE
CECFE, CFCI, CCSMS, CRCMP, ICA-[Spec.Cert(Conduct)], CAMI, CSeM.

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SKILLS

Risk Management
Fraud Investigation & Management
AML Investigation
Corporate Security
Statutory & Safety Compliance
Security Compliance
Data Privacy
Transaction Monitoring
Quality Assurance
Governance, Risk & Compliance
Resource Optimization
Business Continuity Planning
Security & Vigilance Operations
Dispute Handling
Escalation Management
Cross-functional Coordination

SUMMARY

- ◆ Determined professional with **over 12 years of experience** in **Risk, Fraud & Security Analysis Management** in the Financial Services Industry in **India, & the Middle East**
- ◆ A **CECFE, CFCI, CCSMS, CRCMP, ICA-certified professional**
- ◆ Adept in **implementing and assessing the operational risk framework** in full compliance with risk management policies, risk limits, and applicable regulations.
- ◆ Highly skilled in **detecting, investigating, and reporting all financial frauds** and ensuring process reviews for nonrecurrence
- ◆ Overseeing proactive **Fraud Risk Management Programs** using data extraction, data analytics, and management reporting
- ◆ Deft in **producing and publishing risk metrics reports** to the senior leadership team and compliance team.
- ◆ Conceptual thinking skills and ability to **identify and implement technical solutions** to achieve **process automation** and improve organizational productivity.
- ◆ Stay abreast with the **trends and typologies on emerging AML, Fraud, and ABC risks**
- ◆ Excellent analytical, communication & interpersonal skills who inspires and promote trust, and cohesion across all levels of **stakeholders across the globe**

EDUCATION

- **Master of Business Administration** | National Institute of Business Management | 2021 | India
- **Bachelor of Arts in Tourism** | Techno Global University | 2013 | India
- **Diploma In IATA FIATA Cargo Introductory** | Speedwings Aviation Academy | 2009 | India
- **BTEC HNC In Aviation, Hospitality & Travel Management** | Edexcel International | 2008 | UK

CERTIFICATIONS

- **Economic Crime Forensic Examiner** | National White Collar Crime Centre (NW3C)
- **Financial Crimes Investigator** | International Association of Financial Crimes Investigators
- **Specialist Certificate in Conduct Risk** | International Compliance Association (ICA)
- **Risk & Compliance Management Professional** | International Association of Risk & Compliance Professionals (IARCP)
- **Corporate Security Management Specialist** | Academy of Security, Intelligence & Risk Studies
- **Anti-Money Laundering Investigator** | PonSun AML Academy
- **Anti-Money Laundering Compliance Officers** | Vskills
- **Security Manager** | Brainmeasures- CseM
- **Essential Security Practices** | Perpetuity ARC Linx International | UK -ESP
- **Security Risk Management** | Perpetuity ARC Linx International | UK
- **ISO 9001: 2015 - Awareness & Internal Auditor Quality Management System** | Intertek
- **Anti-Money Laundering Specialist** | Harley Janet's Association | UK
- **Security Management Diploma** | One Education | UK
- **Internal Controls, Policy, Procedure & Standards** | Bahrain Institute of Banking & Finance
- **Information Security Policy, Procedures & Standards** | Bahrain Institute of Banking & Finance
- **Management Of Information Security** | Bahrain Institute of Banking & Finance
- **Data Protection with GDPR** | British Safety Council
- **Emergency Action Plan** | OSHA Academy Safety and Health Training

PROFESSIONAL EXPERIENCE

Al Jazira Exchange | Risk & Fraud Prevention Officer | Mar'21 - Present | Abu Dhabi

- ◆ Implementing the **financial crime compliance framework** as per the CB UAE-related processes, policies, tools, and controls
- ◆ **Financial Crime Investigation and misconduct**
- ◆ Monitoring, developing, and reviewing the **Risk Management & Risk Register on a regular basis**

- ♦ Monitoring the **financial crime incidents** identified internally and by external regulators and tracking the implementation of their corrective actions
- ♦ Managing all **AML/financial crime-related suspicious activities**, transaction monitoring, and identification and reporting of suspicious activities
- ♦ Working with the Compliance team to set appropriate Rules and Alerts in the **Transaction Monitoring System**
- ♦ Analyzing and developing appropriate **Risk Appetite Statements on Products and Services**
- ♦ Ensuring compliance with all aspects of **Risk Management, Fraud Prevention, AML-CFT Regulation issued by CBUAE**
- ♦ Advising the senior management and partners on various Risks, Financial Crime related matters including emerging risks
- ♦ Ensuring **Risk Based Approach(RBA)** and **Risk control and self-assessment (RCSA)** methodology is utilized
- ♦ Ensuring Anti-Fraud Framework is in place and also performing **Organization-Wide Fraud Risk Assessment (FRA)**
- ♦ Conducting organization-wide **BCP Testing and Risk Assessment**
- ♦ Investigating and reporting timely **reports of incidents, whistleblowers, counterfeits, and fraudulent activities** to senior management, partners, and regulatory agencies such as CBUAE and the FIU
- ♦ Working with the Compliance team to do ML-TF Risk Assessment and suggesting additional controls
- ♦ Training the team on **Risk, Fraud, Scams, Anti-Bribery, Corruption, Counterfeit Currency, and Business Contingency** Planning
- ♦ Handling the **Audits of the branches** from a risk and fraud perspective and Audits on all departments of exchange quarterly and submitting the report to the associated management for review
- ♦ Apt in EDD for new corresponding, counterparty arrangements & partnership
- ♦ Using the **World Check portal** to identify sanctioned entities

UAE Exchange Centre Co. Bahrain W.L.L | Security Manager/ Operations Coordinator | Sep'14 - Jun'20 | Manama (Bahrain)

Security Manager | Jan 2018 – June 2020

- ♦ **Developed integrated security services** including internal and external security risks, and monitored threats and vulnerabilities
- ♦ Ensured **safety & security assessments and audits** are conducted in compliance with operational policies and procedures
- ♦ **Liaise with local authorities like Federal Police, Courts, and Administration** to ensure the complete sound, and secured assessment of safety about UAE Exchange, Bahrain
- ♦ Coordinated with the **regulators and MOIC on security, risk, and fraud aspects** as well as conducted security inspections on a timely basis in collaboration with the regulators
- ♦ Complied with **Central Bank, Bahrain regulations** for the protection of its assets and staff from any threats
- ♦ Investigated the incidents that occurred and initiated disciplinary actions whenever the security was breached
- ♦ Ensured the **CCTV mechanisms** operated properly, that recordings and data were safely obtained, and stored, and that footage could be accessed whenever needed
- ♦ Trained and mentored the team and new staff on **Security, Risk, and Fraud Management**
- ♦ Drafted and updated the monthly **Governance, Risk, and Compliance Deck Data**
- ♦ Managed renewal of **Annual Contract Management** and took care of legal and security compliances and documentation
- ♦ Acted as **chief custodian of user login access** and ensured creation and deletion of user login access were used in compliance with Information Security Policies

Operations Coordinator | Sep 2014 – Dec 2017

- ♦ Ventured and provided **administration and operations** of the associated Bahrain office departments and departments in Global Head Quarters
- ♦ Formulated the operational SOPs, announcements, Policies & Processes
- ♦ **MIS Management** and drafted the minutes of meetings under the commensurate advice of the Operations Head & GM
- ♦ Organized the **Smartpay payroll management** under WPS/Non-WPS and provided payroll support and assistance

XM Software Solutions (An Associate of UAE Exchange Company) | Sr Customer Support Executive | Nov'11- Jan'14 | Cochin

- ♦ Managing **customer-initiated transactions and systematic releases** in the enterprise
- ♦ Resolved inaccuracies in transactions by the customers by providing **Recipient Beneficiary information**
- ♦ Investigated and administered **Anti-Money Laundering (AML) aspects** in high-volume transactions & prevented fraud attempts
- ♦ Assisted in aggregating revenues by encouraging customers to initiate transactions and resolved service-related issues
- ♦ Worked on **AML tools like all ML, CreditSafe and World-Check**, etc.

Hinduja Global Solutions Limited | Customer Relation Officer | Dec'09 - Feb'11 | Chennai

- ♦ Managed customer relationships by bringing adhesive quality and consistency in resolving their issues
- ♦ Acquired new clients and steered efforts in retaining them to provide revenue aggregation to the organization
- ♦ Conducted status meetings with appropriate development resources and customers
- ♦ Compiled client's data accurately and updated client reports
- ♦ Efficiently closed out business contracts with prospective clients and ensured repeat contracts with retained clients

Frankfinn Institute of Airhostess Training | Marketing Executive Dec'07 - Dec'08 | Cochin

- ♦ Marketing of the cabin crew & Aviation management courses of Frankfinn institutes
- ♦ Developed and maintained the website and logo of the company, curated blogs & articles about the services as per PR planning
- ♦ Coordinated with the parents and convinced them about the benefits of enrolling with the institution
- ♦ Managed admissions and capacity-building programs

AWARDS & ACCOMPLISHMENTS

- ♦ **Super Hero Award** | Best Performing Head Office Employee | UAE Exchange | 2019
- ♦ **Star Award** | Best Performing Employee | UAE Exchange | 2018
- ♦ **Core Value Award Integrity** | UAE Exchange | 2018
- ♦ **Best Performer Award Branch Support - Quarter'01** | UAE Exchange | 2017
- ♦ **Best Staff of The Month** | UAE Exchange | 2016
- ♦ **Busy Bee Award for Best Employee** | Hinduja Global Solutions Limited | 2010

SOFT SKILLS

Decision Maker | Result Oriented | Knowledge Driven | Process Oriented | Keen Learner | Proactive & Agile | Problem Solver | Excellent Communication