



# AJISH MOHAN NAIR

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## CAMS CERTIFIED

**My Objective** - Versatile professional with a decade of experience, prominently featuring 7+ years in the UAE's foreign exchange sector and an additional 3 years in India. Holder of CAMS from ACAMS, CAMI, and CTDI certifications. As a seasoned Compliance Professional, my goal is to elevate compliance and regulatory standards, ensuring financial integrity and risk mitigation within a dynamic organization. My unique blend of expertise includes cashier responsibilities, offering a comprehensive approach to financial operations. Eager to contribute a wealth of knowledge and a track record of excellence to your team's success.

**Profile** — Master in Business Administration (MBA) in Operation Management with Compliance and Financial Exchange experience.

- **Strength** - Hard working, self-starter, highly motivated, organized and disciplined team player.

## WORK EXPERIENCE

### SENIOR QUALITY AND COMPLIANCE ANALYST

April 2023 – September 2023

#### YARAB TECHNOLOGY LTD. KERALA, INDIA

- Conducted comprehensive audits of the official company website to ensure compliance with FCA (Financial Conduct Authority) regulations, including data protection, marketing materials, and customer communication.
- Monitored and evaluated Business Development Agents (BDA) through call monitoring, ensuring strict adherence to FCA-appointed parameters and compliance guidelines.
- Led a high-performing team responsible for conducting internal audits, evaluating compliance procedures, and implementing necessary improvements.
- Analyzed and interpreted complex data and reports, identifying trends and providing actionable insights to enhance compliance practices and performance.
- Collaborated closely with cross-functional teams, including Legal, Marketing, and IT departments, to streamline processes and ensure compliance in all aspects of the business.
- Developed and maintained comprehensive documentation of audit findings, compliance procedures, and quality standards.
- Conducted regular training sessions to educate employees on compliance policies and best practices, fostering a culture of continuous improvement.

### SERVICE OFFICER

March 2017 - August 2022

#### INDEX EXCHANGE LLC, SHARJAH, UAE

- Execute real-time sanction and PEP screenings for accounts and transactions, upholding international and internal watch list standards.
- Maintain meticulous records of compliance, fostering transparency in all financial dealings.
- Efficiently handle regulatory inquiries from EU, OFAC, UAE Terrorism list, and foreign regulators.
- Develop strategic remedial action plans based on audit findings, showcasing adaptability to evolving compliance requirements.
- Manage booking for special rates in bank transfers and foreign currency funding, optimizing financial transactions.
- Execute cross-selling initiatives for allied products, enhancing the overall customer experience.
- Attend mandatory training sessions, including the annual Bank Secrecy Act Training, to stay updated on regulatory developments.
- File detailed reports at the close of each business day, reflecting a commitment to accuracy and accountability.
- Ensure AML policy compliance in customer registration processes, contributing to a robust regulatory framework.
- Provide continuous support for the compliance program, actively participating in Business Process Models for ongoing process development.
- Oversee compliance with registration, licensing, and training requirements for employees, ensuring a high standard of professionalism.

### JUNIOR OFFICER

December 2013 - August 2016

#### UAE EXCHANGE LLC, DUBAI, UAE

- Conduct real-time sanction and PEP screenings for accounts and transactions, meticulously analyzing international watch lists.
- Maintain accurate and detailed compliance records, ensuring transparency and accountability in all financial transactions.
- Develop targeted remedial action plans in response to audit findings, demonstrating a proactive approach to compliance challenges.

- Negotiate special rates for bank transfers and foreign currency funding, optimizing financial processes.
- Cross-sell allied products, contributing to enhanced customer engagement and revenue growth.
- Attend mandatory training sessions, including the annual Bank Secrecy Act Training, to stay abreast of industry regulations.
- File comprehensive reports at the close of each business day, showcasing meticulous attention to detail.
- Ensure customer registration aligns with AML policies, maintaining a robust compliance framework.
- Provide ongoing support to uphold the compliance program, actively contributing to process development through Business Process Models.
- Monitor and ensure compliance with registration, licensing, and training requirements for employees.

## ASIA CLIENT SERVICE ADVISOR

August 2011 - September 2013

### BA Continuum India

- Handling Global Corporate client's accounts with services and their queries.
- Document Reviews for transaction.
- Working on Business Process Models for Process development.
- Worked closely with team to maintain optimum levels of communication for the effective and efficient Completion of task.
- Attend all required training including annual Bank Secrecy Act Training which includes emphasis on identifying suspicious activity.
- Provide support to other Bank department team members to include, but not limited to resolving or escalation of issues.
- Monitor the effectiveness of daily wire operations.
- Perform wire related research and response to Accounting reconciliation.
- Performed daily reconciliations of cash, security and suspense transactions, maintaining strict adherence to established quality control procedures to mitigate high risks.

## EDUCATION

### MBA

June 2020 - January 2023

### JAIPUR UNIVERSITY

Operation Management

### GDIB+BBA

May 2006 - August 2008

### HISAR UNIVERSITY

International Business

### CAMI + CTDI

May 2022 - March 2023

### PON SUN AML ACADEMY

COMPLIANCE CERTIFICARION

### CAMS

November 2023

### ACAMS

COMPLIANCE CERTIFICATION

## SKILLS

Branch Operations



Critical thinking



Record-keeping



Risk Assessment



Regulatory Knowledge



Compliance Monitoring



Aggregate reporting



## INTERESTS

1. Community Involvement.
2. Writing.
3. Blogging
4. Learning Languages
5. Photography
6. Travel
7. Sports
8. Reading.

## CERTIFICATION AND TRAININGS

- Completed CAMS (Certified Anti Money Laundering Specialist) CAMI (Certified Anti Money Laundry Investigator and CTDI (Certified Trade Document Investigator)/Achievement of Bronze Award in Bank of America 23/09/2012/ Attended Live session on AML fundamentals and UAE AML Laws by MNR Talent and Skills Development 11/04/2020/ Attended Live Session on AML CPD Program for Compliance Officers by MNR Talent and Skills Development 28/07/2020/Attended Virtual Session "GLOBAL AML FOR UAE" by edcomm group banker's academy 30/07/2020/ Participation for CAMS course with completion of 40PDU by Zabeel International Institute 15/09/2020 to 21/11/2020

## OTHER DETAILS

- *Nationality: Indian. Passport Number: J7465808 / Date of Birth: 30th July 1986 /Languages English – Skilled, Hindi Skilled, Malayalam – Intermediate, Urdu – Intermediate / Computer knowledge - Oracle Database Visual Basic Networking Office*
- *Permanent Address: DG-II, 254-B, D-Block, Vikaspuri, New Delhi, India 110018*
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