



# Mohammed Shafeek Chakkampully - CAMS

## Professional Summary

An experienced Compliance Executive with CAMS certificate and a proven track record of helping to lead companies by ensuring they are functioning in complete compliance with all national and international rules and regulations. I possess excellent communication skills, allowing for the cultivation of positive and mutually beneficial business relationships, while keeping strong ethics at the forefront of my goals. Aspiring for a challenging position within the field of Compliance.

## Work History

### Sr. Compliance Analyst at GCC EXCHANGE



September 2023 - Present

#### Key Responsibilities:

- Supervised Retail / Corporate transaction monitoring alerts and conducted.
- Screen and monitor retail and corporate customer transactions for potential AML and sanction violations.
- Resolve alerts in accordance with the latest AML, sanction rules, and compliance policies.
- Handle queries received from CBUAE, Regulatory Authorities and Correspondent Partners via IEMS and emails.
- Assisted compliance officer and the department in day-to-day activities related to AML/CFT & KYC Compliance.
- Assisted the Investigation and risk management team with fraud investigation and risk identification.
- Conducting Due Diligence on Vendors and Correspondents Annually.
- Identifying possible suspicious transactions.
- Administration of On-Boarding functions including KYC and Customer Due Diligence of Retail and Corporate Customers.
- Receive Internal Suspicious Transaction Reports (ISTR) from branches and verify and forward them to CO.
- Maintain appropriate records and arrange to monitor suspicious accounts periodically.
- Provide support and advice to other departments about the application of rules and regulations to their function.
- Update the blacklists regularly.
- Responsible for maintaining proper records of major exchange houses files and ensure that EDD files are up to date.
- Proactive monitoring for suspicious types of activities.
- Maintaining records as required by GCC Exchange AML/CFT Sanctions policy & procedures.

### FCY Cashier at FIRST GULF EXCHANGE CENTER



February 2023 – July 2023

#### Key Responsibilities:

- Cash handling.
- Dealing with forex sale & purchase.
- Handling high volume of cash and cheques.
- Handling customer complaints and enquiries regarding their remittance status.
- Giving suggestions and good rates to walk-in customers.
- Execute outward remittance in accordance with the AML guidelines of walk-in customers.

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## Professional Skills

- Compliance
- Regulatory compliance
- Risk Management
- Auditing & Monitoring
- Anti-Money Laundering (AML)
- KYC Management
- Compliance Risk Monitoring
- Transaction Monitoring
- CDD and EDD Analysis.
- Sanctions Screening.
- Policy & regulations.
- Annual review
- Corporate transaction

## Management

- Communication & Interpersonal Skills
- Critical thinking & Problem solving
- Decision Making & Judgement
- Flexibility & Adaptability
- Organizational & Time Management
- Risk Assessment & Management

## FCY Cashier & Unit Compliance Officer at AL ANSARI EXCHANGE



December 2020 to December 2022

### Key Responsibilities:

- Customer service.
- Cash Handling with foreign Currency.
- Verifying and follow up transactions through AML.
- Physical checking of KYC documents and high-volume transactions for suspicious compliance related issues and reporting.
- Handling SWIFT transactions and corporate business transactions.
- Complaints & Enquiries – Handling customer complaints and enquiries regarding their remittance status.
- Safe keeping of the relevant AML Compliance, KYC and transaction documents in the branch.
- Responsible for educating branch staff on how to onboard customers and conduct CID, CDD & EDD process for all individual and legal entities as per CBUAE standards.
- Monitoring day-to-day transactions of the branch and reporting any unusual or suspicious transactions to the Compliance Department of Company.
- Assisting branch Auditor for weekly and monthly audits.

## Service Supervisor & Branch Compliance officer at UAE EXCHANGE CENTRE LLC



October 2013 – February 2020

### Key Responsibilities:

- Execution and implementation of the regulations issued by the Central Bank of the UAE and UAEEX Anti-Money Laundering policy & procedures in the branch.
- Monitoring day-to-day transactions of the branch and reporting any unusual or suspicious transactions to the Compliance Department.
- Training and educating branch staff with Central Bank of UAE standards and Internal Policies.
- Conduct Know Your Customer (KYC) verification for both new and existing customers to ensure compliance with regulatory requirements.
- Verifying high-volume transactions for suspicious compliance related issues and reporting.
- Safe keeping of the relevant AML Compliance, KYC and transaction documents in the branch.
- Address queries received from the Head Office, Correspondent Partners, and other stakeholders via email and other response channels.
- Ensure all UAE Central Bank regulations and AML policies & procedures are strictly implemented in the daily operations of the branch and ensure confidentiality on all transactions.
- Investigate and report suspicious & unusual red flag transactions to money laundering reporting officer.
- Responsible for the development and implementation of the Foreign Exchange department within the Branch
- Strong understanding of foreign markets and the foreign stock exchange
- Managed banking transactions including remittance and bulk transactions on FX.
- Responsible for the development and implementation of the Foreign Exchange department within the Branch.

### Professional Qualifications

- CAMS (Certified Anti Money Laundering Specialist)  
Ref NO: ER- 005128216

### Educational Qualifications

- B.com (Bachelor of Commerce), 2007, Calicut University.

### Sanction Screening Solution Tools

- Refinitiv World Check
- LexisNexis
- Bridger Insight
- Biz4x

### Languages Known

- English
- Hindi
- Malayalam
- Tamil

### Personal Details

Nationality : Indian  
D.O.B : 29 – 09 -1986  
Gender : Male