



GIRISH NAIR (CAMS)

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A seasoned compliance professional with expertise in writing and implementing diverse policies across multiple segments. Proven track records in supervising retail and corporate transactions, handling queries from regulatory authorities, and actively supporting AML / CFT and KYC Compliance. Skilled in Fraud Investigation, risk management, due diligence and maintaining stringent records. Offers proactive monitoring, advisory support to departments and ensures continual adherence to AML / CFT sanctions policies. Exceptional in coordinating training programs for staff, both internal and external

Experience

Compliance Analyst – 02/2023 - Current

Redha Al Ansari Exchange, Dubai

- Writing and implementing diverse policies for compliance across multiple segments.
- Gap Analysis
- Supervising retail and corporate transactions, promptly addressing alerts.
- Managing queries from CBUAE, Regulatory Authorities and Correspondent Partners.
- Assisting in day-to-day AML CFT and KYC Compliance Activities.
- Watchlist and IEMS Entry management and reply
- Supporting fraud investigations and risk identification efforts.
- Conducting annual due diligence on vendors and identifying suspicious transactions.
- Administering on-boarding, KYC, and Customer Due Diligence for Customers for Corporate Customers.
- Verifying and forwarding Internal Suspicious Transaction reports to the Compliance Officer.
- Offering advice to other departments on rule and regulation applications
- Updating blacklists and adhering to AML / CFT sanctions policies including IEMS, SAR, STR, LEA.
- Coordinating training for new staff and managing external training programs
- Proactively monitoring for suspicious activities within the compliance framework

Highlights

- KYC and Re-KYC management
- Results-oriented
- Policy and procedure writing
- Training Management
- IEMS and other Software
- Co-ordination with Regulatory Authorities

Key Skills

- Transaction Monitoring
- AML Analysis
- KYC Management
- Sanction Screening
- CDD and EDD
- WPS and Corporate On-Boarding
- Training and Development
- Data Analysis
- Strategic Planning
- Problem Solving
- Planning and Coordination
- Team Management
- Resource Management
- Risk Management

Achievements

- Kaizen Awards (2)
- e-Award for Confidentiality

AML Analyst – 02/2020 – 02/2023

Al Razouki Exchange, Dubai

- Oversaw transaction monitoring, reported STRs and SARs promptly.
- Supervising retail and corporate transactions, promptly addressing alerts.
- Managing queries from CBUAE, Regulatory Authorities and Correspondent Partners.
- Assisting in day-to-day AML CFT and KYC Compliance Activities.
- Watchlist and IEMS Entry management and reply
- Supporting fraud investigations and risk identification efforts.
- Conducting annual due diligence on vendors and identifying suspicious transactions.
- Administering on-boarding, KYC, and Customer Due Diligence for Customers for Corporate Customers.
- Proactively monitoring for suspicious activities within the compliance framework also verifying and forwarding Internal Suspicious Transaction reports to the Compliance Officer.
- Offering advice to other departments on rule and regulation applications
- Updating blacklists and adhering to AML / CFT sanctions policies
- Coordinating training for new staff and managing external training programs

Branch In-Charge – 11/2013 – 11/2019

Emirates India International Exchange, Dubai

- Responsible for developing, implementing and maintaining a business plan. Focusing on customer acquisition, retention and cross-sales, involving visits for Corporate and WPS on-boarding. Ensuring Client satisfaction through competitive FX Rates and managed compliance and internal auditing and customer on-boarding process. Additionally coordinating 3rd party sales and services, enforcing company policies and managing branch accounts while overseeing daily activities.

Financial Analyst – 08/2012 – 09/2013

eClerx Services Limited, Mumbai

- Verified Forex Trade Documentation, affirming trade details to align parties and mitigate risk.

Process Associate – 07/2010 – 04/2012

HP Global e-Business Ltd, Bangalore

- Processing 3rd party vendor invoices, ensuring accuracy, managing payment and on time approvals.

Education

MBA (Finance & IBM)
GEMS B School, Bengaluru

Diploma in Software Engineering
CDAC, Mumbai

B. Com (Accounts and Commerce)
SIES College, Mumbai Univ.

Hobbies

- Music
- Long – Walks
- Cooking

Languages

English	<div><div></div><div></div><div></div><div></div><div></div><div></div></div>
Hindi	<div><div></div><div></div><div></div><div></div><div></div><div></div></div>
Marathi	<div><div></div><div></div><div></div><div></div><div></div><div></div></div>
Malayalam	<div><div></div><div></div><div></div><div></div><div></div><div></div></div>
Tamil	<div><div></div><div></div><div></div><div></div><div></div><div></div></div>
Kannada	<div><div></div><div></div><div></div><div></div><div></div><div></div></div>