



# M. Zakria Sohail

Compliance Officer



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Nov 13<sup>th</sup>, 1995

## WhyMe?

- Having over 5 years of experience as Compliance Officer in a remittance firm know how to ensure compliance with all relevant laws, regulations, and internal policies governing remittance services, including anti-money laundering (AML) laws, counter-terrorist financing (CTF) regulations, and know your customer (KYC) requirements.
- Developed and implemented comprehensive compliance policies and procedures to mitigate financial risks and ensure adherence to regulatory requirements.
- Conducted regular risk assessments to identify potential vulnerabilities and implemented proactive measures to mitigate risks associated with money laundering and other financial crimes.
- Prepared and submitted timely reports of suspicious activities to regulatory authorities in compliance with regulatory requirements.
- Conducted internal audits and reviews to assess the effectiveness of compliance procedures and identify areas for improvement.
- Being able to adapt quickly to changes in regulatory requirements or business operations, and implementing necessary changes to maintain compliance.

## PROFESSIONAL EXPERIENCE

### • ***ACE Money Transfer Ltd.***

Working as “**Compliance Officer**” Jul, 2019 to Jul, 2024

#### **Responsibilities/Accomplishments:**

- Strong understanding of AML, CTF, and KYC regulations.
- Excellent analytical and problem-solving skills.
- Proficiency in using compliance software and tools for transaction monitoring and reporting.
- Identifying potential compliance risks within the organization and developing strategies to mitigate these risks.
- Conducting training sessions for employees to ensure understanding and compliance with regulations and organizational policies.
- Conducting investigations into compliance violations or suspicions of misconduct, and taking appropriate corrective actions.
- Preparing and submitting reports to regulatory authorities or senior management regarding compliance activities, issues identified, and remedial actions taken.
- Promoting a culture of ethics and integrity throughout the organization, ensuring that employees understand the importance of compliance and ethical behavior.
- Maintaining accurate records of compliance activities, audits, and investigations.

## CORE STRENGTHS & ENABLING SKILLS

- |                                |                                |   |
|--------------------------------|--------------------------------|---|
| ▪ Sanctions Handling           | ▪ Risk Management              | ▪ Leadership and Influence              |
| ▪ Conflict Management          | ▪ Investigative Skills         | ▪ Organizational Skills                 |
| ▪ Identifying compliance issue | ▪ Know your customer (KYC)     | ▪ Microsoft Office                      |
| ▪ Regulatory Knowledge         | ▪ Enhanced Due Diligence (EDD) | ▪ Communication and Presentation Skills |
| ▪ Analytical Skills            | ▪ Teamwork and Collaboration   | ▪ Event Management                      |
| ▪ Communication Skills         |                                |   |

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### PROFESSIONAL QUALIFICATION

- **University of Gujrat, Pakistan** (2014 - 2018)  
Bachelor's of Business Administration (BBA Honors)- Finance
  - **Chenab CollegeOf Commerce, Pakistan** (2012- 2014)  
I.Com (Accounting)
  - **Shoukat Model High School, Pakistan** (2012)  
Matriculation (Science)
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### CERTIFICATIONS/ ACHIEVEMENTS

- Fighting Modern Slavery and Human Trafficking, (ACAMS)
  - WWF Ending Illegal Wildlife Trade, (ACAMS)
  - Anti Money Laundering & Counter Terrorist Financing, (ACE)
  - Information Security & Cyber Risk Awareness (Global), (ACE)
  - Anti Bribery & Anti Corruption, (ACE)
  - Office Management, (Al- Huda)
  - English Speaking Course (Ideal Institute)
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### PERSONAL PROFILE

- **Gender:** Male
- **Languages:** Urdu, English, Punjabi, Hindi
- **Nationality:** Pakistani
- **Religion:** Islam
- **CNIC no:** 34201-5140327-9
- **Address:** Dubai

### REFERENCE

- **Reference will be furnished on demand.**