



MOHD SHAMIM

Branch Compliance officer

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SUMMARY

Highly motivated compliance professional with 7 years of hands-on experience in the exchange house industry specializing in cross-border payments. Possessing a strong foundation in anti-money laundering (AML) and counter-terrorism financing (CTF) regulations, recently enhanced with the acquisition of the ACAMS certification. Proven ability to identify, assess, and mitigate financial crime risks. Seeking a challenging role in compliance to leverage expertise and contribute to a robust compliance framework.

CERTIFICATION

Acams certified Anti-Money Laundering Specialist

TRAINING / COURSES

Transaction monitoring

LANGUAGES

English Advanced ●●●●●

Hindi Advanced ●●●●●

SOFT SKILLS

Communication and Interpersonal Skills

Analytical and problem-solving skills

Teamwork and collaboration skills

Knowledge of customer due diligence (CDD) and enhance due diligence (EDD)

Understand of suspicious activity reporting (SAR)

EXPERIENCE

Branch Compliance officer 01/2017 - 07/2017

Lulu International Exchange LLC Location

- Conduct thorough KYC checks for corporate customers, ensuring compliance with all relevant regulatory requirements and internal policies
- Identified and mitigated high-risk compliance issues, ensuring zero regulatory fine or penalties
- Create regulatory compliance report adherence to regulatory requirements
- Conduct CDD and KYC checks to prevent money laundering and terrorist financing
- Collaborate with compliance teams to resolve remittance-related issues and improve process efficiency
- Stay up-to-date with changing regulations and industry trends, applying knowledge to improve remittance processes and mitigate risk
- Maintain accurate records and reports, ensuring audit compliance and transparency
- Monitoring of customer transactions, including assessing historical/current customer information and interactions to provide a complete picture of customer activity. This can include transfers, deposits

Teller/ Remittance clerk 09/2015 - 07/2017

Alfah Exchange Company Location

- Verified customer identification and signatures for every transaction, ensuring stringent security measures
- Processed loan payments, cashier's checks, and money orders with a focus on accuracy, preventing any discrepancies
- Addressed and resolved customer complaints professionally, turning potential dissatisfaction into positive experiences
- Ensured the banking facility was consistently clean, secure, and well-maintained, contributing to a professional environment
- Assisted customers with account inquiries, providing clear information on balances, transactions, and banking services
- Promoted electronic banking services, encouraging customers to adopt self-service options, thereby enhancing efficiency
- Collaborated with the branch manager to identify operational improvements, leading to streamlined processes
- Monitored and maintained POS machines, ensuring they were fully operational and troubleshooting any technical issues
- Handled and processed high-value remittance transactions, ensuring compliance with regulatory standards

EDUCATION

Bachelo of Commerce 01/2014 - 12/2014

University of Lucknow Location

Diploma in ADICA 01/2012 - 01/2012

Safalta Institute Lucknow, India