



Sowjanya Gopi, CAMS, MBA

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Anti-Money Laundering Professional with remarkable experience under high- trading activity environment with leading financial organizations in UAE, with proven track record of helping to lead company by ensuring that they are functioning in complete compliance with all national and international rules and regulations with the below demonstrated skill sets. Currently working as a Compliance Specialist for a leading Exchange House.

Professional Experience

Al Fardan Exchange UAE Compliance Specialist

02/2022 - Present

- Overseeing the entire customer onboarding process: KYC document verification, conducting risk assessments, identifying Ultimate Beneficial Owners (UBOs), ensuring data quality for customer profiles, and finalizing onboarding approvals post-sanction screening, PEP screening, adverse media searches and customer risk rating
- Working on live corporate transactions screening, reviewing supporting documents, verifying transaction purpose, items, customer line of business, end usage, end user details, and finaldestination aimed at identifying transaction risk rate effectively to ensure transactions are in line with international & Local AML / CFT Policies and procedures.
- Conducting in-depth investigations on suspicious transactions, including those triggered by Transaction Monitoring system rules, alerts from customer-facing personnel, and self-identified suspicions during transaction screening, consistently generating high-quality Suspicious Transaction Reports (STR) and Suspicious Activity Reports (SAR)
- Performing Periodic Reviews to verify the suitability and Sanctions, Reputational, and Adverse Media screening. Up to date with OFAC and EU sanctions and applying Specially Designated National and Block Persons (SDN) checks on blocked transactions with compliance
- Conducting sanctions screening and anti-money laundering (AML) checks on SWIFT messages and payment orders to identify and prevent illicit transactions.
- Working on AI powered Transaction Monitoring System to identify potential illicit activity through 30 different rules and scenarios. Using TMS to understand customer risk profile, Business Intelligence, Transaction Type, Transaction Trends, Scenario Risks and Rule Violation

Axis Bank Ltd., Customer Service Officer

12/2017-08/2021

- Performing CDD and EDD checks for corporate client's
- Communicating within a team to complete engagement before the due date •
- Remittance of fund required to correspondent bank.
- Provide account services to clients, including a full range of the Bank's retail services
- Direct contact with the client for KYC and client onboarding process

Education

JNTUK University
MBA finance and marketing specialization - First Class

01/2015 - 01/2017

Adikavi University
Bachelors in Science - First class

01/2012 - 01/2015

Key Skills

- Sanction Screening
- PEP Screening
- Client Onboarding
- Risk Assessment
- Transaction Monitoring

- STR/SAR Reporting
- Due Diligence

Certifications

- CERTIFIED ANTI MONEY LAUNDERING SPECIALIST- CAMS. ACAMS 2023