

# Belal Ahmad Khan

## Internal Auditor

Seeking assignments to obtain responsible and challenging position with a progressive company where my skills and abilities will have valuable application and utilization of my opportunity for advancement.

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## WORK EXPERIENCE

### Internal Auditor HDFC Bank Ltd.

02/2022 - Present

#### Tasks

- Execute audit testing to ensure audit fieldwork is focused on the right areas and documentation meets high-quality standards.
- Identify risks, assess mitigating controls, make recommendations on improving the control environment and follow up on open audit findings and their resolution.
- Review the Risk Control Matrix, test procedures and sampling criteria based on professional judgment and departmentally defined internal audit methodology.
- Prepare effective audit conclusions and findings, and present to IA senior management.
- Led comprehensive investigations into potential money laundering activities, analyzing complex financial transactions to identify suspicious patterns.
- Conducted Sarbanes-Oxley (SOX) compliance audits to ensure adherence to regulatory standards.
- Conducted comprehensive risk assessments for Money Laundering (ML), Terrorist Financing (TF), and identifying high-risk areas and recommending mitigation strategies.
- Evaluated the adequacy of customer due diligence measures performed during the KYC process to mitigate financial crime risks.

### Deputy Manager HDFC Bank Ltd.

01/2021 - 02/2022

Lucknow

#### Tasks

- Performing due diligence checks & reviewing KYC documentation to avoid onboarding of fraudulent customers and conforming to Anti-money laundering (AML) regulations During the Video KYC process.
- Auditing, analyzing and providing feedback to makers for the Video KYC verification.
- Ensuring Quality standards and audit standards are being maintained through the Video KYC process.
- Complying with rules and guidelines laid by R.B.I for the Video KYC process.

### Assistant Manager Axis Bank Ltd.

01/2017 - 01/2021

lucknow

#### Tasks

- Analyze customer wants, needs, and behaviors through surveys, usability studies, in-person interviews, and other research in customer experience.
- Closely worked with audit team in facilitating internal audits.
- Managed high worth portfolios belonging to multiple higher educational institutions, real estate firms and government entities.

## EDUCATION

### Masters in Business Administration Specializing in Finance University Of Lucknow

06/2014 - 06/2016

Lucknow

### B.Com (Hons.) University Of Lucknow

06/2011 - 06/2014

Lucknow

## SKILLS

Advanced excel skills -Tools like Hyperlinks ,V Lookup,H Lookup, Choose function, MATCH function, INDEX function, Database function, Offset Function, Forecasting function, What If analysis.

Internal Audit

Risk Assessment

KYC

AML

Financial Consulting

Control Testing

SOX Compliance

CFT

Control Testing

ITGC

ITAC

Superior Research Skills

High Portfolio Client Management

Client Relation

Leadership

Hands on Experience with Multiple Banking Software - Finacle, CRM, Intellect, RamCo, Saksham, Idfy, AMS, .

## CERTIFICATES

Certified Financial Research Analyst  
(01/2016 - 03/2016)

Certified Internal Auditor (Pursuing)