



JAYAPRAKASH EDAYILLAM, CAMS

Synopsis

Acclimatized professional with 15+ years of incisive experience in the Finance sector and an experienced Compliance Officer with CAMS certification who faced Audit conducted by Banking Supervision Department, Market Conduct Supervision and Financial Crime Department of Central Bank of the UAE for the years 2023 and 2024. Aspiring for a challenging position within the field of Compliance.

Career Recital

December 2024 – Present- Alternate Compliance Officer @ Al Fardan Exchange. (Approved by Central Bank of the UAE)



Key responsibilities

- Assist the Chief Compliance Officer in designing appropriate AML/CFT policy of the licensed institution to remain compliant with the applicable AML/CFT Laws, Regulations, Notices, and Standards and internationally accepted best practices.
- Develop and Execute AML/ CFT Training programs.
- Assisting the Chief Compliance Officer in the development of the institution's AML/CFT Risk assessment
- Ongoing monitoring of transactions to identify high risk, unusual and suspicious customers/ transactions and ensuring the transactions monitoring tools and systems are appropriate and functioning as designed.
- Act as key contact point for all the queries from the Central Bank, EOCN, FIU and other Competent Authorities, in the absence of CO
- Responding to the various queries from Correspondent banks, IEMS, GOAML, LAW Enforcement Agency enquiries, CB UAE Notices, Circulars etc.
- Receiving ISTRs and activity alerts from branches, analyze, investigate, conduct ECDD and report all suspicious cases to FIU without delay.
- Provide necessary monthly and quarterly reports to the Board of Directors and Central Bank of the UAE in a timely manner.
- Assist the Chief Compliance Officer in conducting GAP analysis between the institution's existing AML/CFT procedures and most recently updated current Laws, Regulations, Standards, Notices and internationally accepted best practices.
- Propose actions required for the gaps being identified.
- Ensuring the record retention period and availability for all the transaction documents along with supporting documents.
- Collaborate with other departments to create a Culture of Compliance
- Assist the Chief Compliance Officer in conducting Fine tuning test for Sanction screening alert generation.
- Assist the Chief Compliance Officer in Quality review test for Transaction monitoring & Sanction screening

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Core Competencies

- Compliance & Risk Management
- Regulatory compliance
- Auditing
- Anti-Money Laundering (AML)
- KYC Management
- Compliance Risk & Transaction Monitoring
- CDD and EDD Analysis.
- Sanctions Screening.
- Policy & Regulations.
- Annual /Periodical Review
- Corporate transaction
- Strategic thinking & Problem solving
- Integrity
- Analytical
- Ethics
- Leadership
- Report Submissions
- Quick Decision Making
- Flexibility & Adaptability
- Organizational & Time Management
- Risk & Control Assessment
- Control effectiveness testing
- Collaboration
- Teamwork & Collaboration
- Written & Verbal Communication skills

Professional Qualifications

CAMS
(Certified
Anti Money Laundering
Specialist)

Ref No ER: 000138413

Educational Qualification

MCom (Finance)
MG University, 2003

Sanction Screening Solution Tools

- KIYA AI
- Refinitiv World Check
- LexisNexis
- Biz4x

Languages Known

- ❖ English
- ❖ Hindi
- ❖ Malayalam
- ❖ Kannada

- Assisting Chief Compliance Officer in Conducting Risk assessment, Quarterly review and submission of Reports
- Attending Compliance committee meetings every quarter and recording meeting minutes.



**September 2022 – November 2024-
Chief Compliance Officer @ GOODWILL EXCHANGE (Approved by Central Bank of the UAE)**

Key Responsibilities:

- Designing appropriate AML/CFT policy of the licensed institution to remain compliant with the applicable AML/CFT Laws, Regulations, Notices, and Standards and internationally accepted best practices.
- Develop and Execute AML/ CFT Training programs.
- Oversee the development of the institution's AML/CFT Risk assessment and review
- Ongoing monitoring of transactions to identify high risk, unusual and suspicious customers/ transactions and ensuring the transactions monitoring tools and systems are appropriate and functioning as designed.
- Act as key contact point for all the queries from the Central Bank, EOCN, FIU and other Competent Authorities
- Responding to the various queries received via IEMS, GOAML, LAW Enforcement Agency enquiries, CB UAE Notices, Circulars etc. before the deadline.
- Receiving ISTRs and activity alerts from branches, analyze, investigate, and report all suspicious cases to FIU without delay.
- Provide necessary monthly and quarterly reports to the Board of Directors and Central Bank of the UAE on time.
- Conducting regular GAP analysis between the institution's existing AML/CFT procedures and most recently updated current Laws, Regulations, Standards, Notices and internationally accepted best practices.
- Propose actions required for the gaps being identified.
- Ensuring the record retention period and availability for all the transaction documents along with supporting documents.
- Collaborate with other departments to create a Culture of Compliance
- Conducting Fine tuning test for Sanction screening alert generation.
- Quality review test for Transaction monitoring & Sanction screening
- Conducting Risk assessment, Quarterly review and submission of Reports
- Risk appetite statement and periodic review
- Develop and maintain audit trackers for all the findings by External and Internal Auditors.
- Conducting Compliance committee meetings every quarter and recording meeting minutes.

April 2009 – July 2020,  Asst Manager - AML Compliance UAE EXCHANGE CENTRE LLC

Key Responsibilities:

- Execution and implementation of the regulations issued by the Central Bank of the UAE and UAEEX Anti-Money Laundering policy & procedures in the branch.
- Monitoring day-to-day transactions of the branch and reporting any unusual or suspicious transactions to the Compliance Department.
- Training and educating branch staff with Central Bank of UAE standards and Internal Policies.
- Conduct Know Your Customer (KYC) verification for both new and existing customers to ensure compliance with regulatory requirements.
- Verifying high-volume transactions for suspicious compliance related issues and reporting.
- Safe keeping of the relevant AML Compliance, KYC and transaction documents in the branch.
- Address queries received from the Head Office, Correspondent Partners, and other stakeholders via email and other response channels.
- Ensure all UAE Central Bank regulations and AML policies & procedures are strictly implemented in the daily operations of the branch and ensure confidentiality in all transactions.
- Investigate and report suspicious & unusual red flag transactions to money laundering reporting officer.
- Responsible for the development and implementation of the Foreign Exchange department within the Branch
- Strong understanding of foreign markets and the foreign stock exchange
- Managed banking transactions including remittance and bulk transactions on FX.
- Responsible for the development and implementation of the Foreign Exchange department within the Branch

OTHER CERTIFICATIONS, WEBINARS, APPRECIATIONS AND TRAININGS

- Money Laundering and Correspondent Banking - Mitigating Risks Without De-risking-2022
- Deploying The Enterprise-wide Risk Assessment to Drive and Demonstrate a Strong Culture of Compliance-2022
- Implementing Fincen's National Priorities: How Effective Is Your AML/CFT Program-2022
- Certificate for participation in "Compliance and Risk" training by Thomson Reuters in 2019.
- Certificate of completion of "Financial Crime Compliance" course for 48 hrs. In 2019.
- Earned appreciation from the Top-level management for the "outstanding performance and rated "Exceeding Expectation" on the half yearly performance appraisal in the year 2018.
- Certificate of participation in "Leadership training "by UAE Exchange Centre at Downtown Hotel Dubai, 2017.
- Certificate of Appreciation and STAR Award for the "Best performance" for the year 2016 ,2017 and 2019
- Certificate-Countering Proliferation Financing by RUSI-2022
- Certificate-Good Screening Practices and techniques to uncover designated people by ICA- by Central Bank of the UAE 2023
- Certificate-Sanction Evasion Typologies, Case Studies and Recommendations by ICA- by Central Bank of the UAE 2023
- Certificate-Sharing of Information between the Public Private Sector- by Central Bank of the UAE 2023
- Certificate-TFS Implementation, Ownership & Control, and Combating Sanction Evasion- by Central Bank of the UAE 2023
- Collaborative Frameworks Helping Financial Institutions Fight Financial Crime- ACAMS 2023
- Money Laundering Through Real Estate- by ACFCS, 2024